

AOGME Webinar

Single GME Update: Transition of AOA Approved Programs After June 30, 2020

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Disclosures

I have nothing to disclose or financial conflicts



ACGME Overview

- Nationally and internationally recognized accrediting body for graduate medical education
- Independent, not-for-profit, physician led-organization
- 501(c)3 Corporation Illinois Corporation
- Sets and monitors professional educational standards in preparing physicians for practice



Learning Objectives

- Provide Update Single GME Accreditation System
- Review ACGME Policies and Procedures for AOA institutions and programs that have not achieved accreditation
- Discuss the ACGME appeals process
- Review Institution, program, and Osteopathic Recognition applications statistics



Where Are We in the Transition?

50 months into the Single GME Accreditation System transition







What happens to programs that have not achieved ACGME Accreditation by the end of the transition?



What Happens July 1, 2020

- MOU ends
- AOA ceases accrediting programs
 - > AOA restricted accreditation authority to allow training out of residents
- Institutions and Programs that have not achieved ACGME Accreditation (Pre-Accreditation, Continued Pre-Accreditation)
 - Appeals Process for Institutions and programs with adverse actions
 - Subject 18.00 Accreditation and Recognition Actions: Pre-Accreditation
 - Section 18.120 Pre-Accreditation
 - Section 18.123 Termination of, and Appeals from, the July 1, 2015 to June 30, 2020
 Phase of the Single Accreditation System





Appealable Accreditation Actions

- Subject: 19.00 Appeals of Adverse Accreditation Actions
- Section: 19.10 Adverse Accreditation Actions for Programs and Sponsoring Institutions
 - □ The following are considered adverse actions:
 - Accreditation Withheld
 - Probationary Accreditation
 - Withdrawal of Accreditation
 - Reduction in Resident Complement (not applicable to Sponsoring Institutions)



- Subject: 19.00 Appeal of Adverse Accreditation Actions
- Section: 19.20 Procedures for an Adverse Accreditation Action
 - Review Committee confers an adverse action
 - Notice of the adverse action to the program director and the DIO
 - Letter of Notification sent to program director and copied to DIO
 - Includes the citations
 - Copy of the site visitor report (if there was a site visit)
 - Program director may appeal an adverse action
 - Program does not appeal, adverse action is final



- Subject: 19.00 Appeal of Adverse Accreditation Actions
- Section: 19.20 Procedures for an Adverse Accreditation Action
 - Program receipt notification adverse action
 - Program director must inform (in writing)
 - All residents
 - Any applicants who have been invited to interview with the program
 - Program director must inform regardless of whether or not the adverse action is appealed
 - Copy of this written notice must be sent to Executive



Director of RC within 50 days of receipt of Letter of Notification

Subject: 18.00 Accreditation and Recognition Actions

Section: 18.120 Pre-Accreditation

Section: 18.123 Termination of, and Appeals from, the July 1, 2015 to June 30, 2020 Phase of the Single Accreditation System



- Section: 18.123 Termination of, and Appeals from, the July 1, 2015 to June 30, 2020 Phase of the Single Accreditation System
 - Institutions or Programs
 - Pre-Accreditation
 - Institutions or Programs Within six Months of June 30, 2020 will be reviewed
 - Accreditation Decision
 - Initial Accreditation
 - Accreditation Withheld
 - Institution or Program within 30 days receipt of Letter of Notification may request
 Appeal
 - Status of an Institution or Program that appeals Accreditation Withheld under Appeal



- Section: 18.123 Termination of, and Appeals from, the July 1, 2015 to June 30,
 2020 Phase of the Single Accreditation System
 - Institutions or Programs
 - Program(s) Initial Accreditation Contingent
 - Institution Pre-Accreditation
 - Within six Months of June 30, 2020 will be reviewed
 - Accreditation Decision
 - Initial Accreditation
 - Institution will be granted Initial Accreditation
 - Program(s) in Initial Accreditation Contingent will change to Initial Accreditation



- Section: 18.123 Termination of, and Appeals from, the July 1, 2015 to June 30, 2020 Phase of the Single Accreditation System
 - Program(s) Initial Accreditation Contingent
 - Institution Pre-Accreditation
 - Accreditation Withheld
 - Institution status Accreditation Withheld
 - Program(s) status Initial Accreditation Contingent will change to Accreditation Withheld
 - Institution within 30 days receipt of Letter of Notification may request Appeal
 - Status of an Institution that Appeals Institution Accreditation Withheld under Appeal
 - Status of Program Accreditation Withheld, Contingent Under Appeal



- Section: 18.123 Termination of, and Appeals from, the July 1, 2015 to June 30, 2020 Phase of the Single Accreditation System
 - Institution and Program(s) Continued Pre-Accreditation
 - > After Midnight June 30, 2020
 - Institutions and Programs with a status of Continued Pre-Accreditation will immediately change to Accreditation Withheld
 - Status of Sponsoring Institutions or Programs with Accreditation Withheld
 - Within 30 days of Midnight June 30, 2020, any Sponsoring Institution or Program may request an Appeal
 - Status of an Institution or Program that appeals Accreditation Withheld under Appeal



Application Process

- Section: 18.123 Termination of, and Appeals from, the July 1, 2015 to June 30, 2020 Phase of the Single Accreditation System
- Applications for Initial Accreditation after June 30, 2020
 - Single GME Accreditation System Transition has ended
 - Beginning July 1, 2020
 - Applications for Initial Accreditation of sponsoring institutions and programs
 - Normal ACGME Process



Appeal Fee

Subject: 8.00 Financials

Section: 8.10 Fee Structure

- Institutions or Programs that appeal
 - An appeal fee shall be assessed (\$10,000.00)
 - Appellant and ACGME shall equally share costs associated with the appeal
 - Cost of court reporter
 - Actual expenses for travel, meals, and hotel for the appeals panel and the Review Committee Chair or designee



2019 Accreditation Fees

- New applications and programs seeking re-accreditation \$6,800
- Program Fees/Annual Fee
 - Programs with 5 or fewer residents \$4,700
 - Programs with more than 5 residents \$5,700
- Appeal accreditation or recognition decision \$10,000.
 - Additional cost for the expenses Appeals Panel and associated administrative costs (Shared equally appellant and ACGME)
- Cancellation of a previously scheduled site visit \$3,200 (may be waived at the discretion of the Senior Vice President, Field Activities)



What happens to residents in programs that do not achieve ACGME accreditation?



Agreement to Protect Residents

- Provides protection for residents in AOA-approved training programs, which do not achieve ACGME accreditation prior to June 30, 2020
 - > Unique circumstances that may limit a residents ability to complete program by June 20, 2020
- ACGME, AOA, and AACOM agreement
- AOA will have restricted authority to extend AOA accreditation date
- Allows residents to complete training and be eligible for AOA Board Certification



Single GME Accreditation System Update





Dates	Achievements
April 2018	 ONMM Review Committee meets, 2 additional ONMM programs achieve Initial Accreditation and 1 program moves to Continued Accreditation (Total 11 programs with Accreditation) OPC Meets, 27 additional programs achieve Initial Recognition and 11 additional programs achieve Continued Recognition (Total 157 with Osteopathic Recognition)
May 2018	 Over 150 AOA programs have achieved ACGME Accreditation since January 2018 (Total 472 Programs have achieved Accreditation not inclusive of Dually Accredited Programs)
June 2018	 Common Program Requirements approved by ACGME Board with an effective date July 1, 2019
July 2018	 New Chair of OB/GYN Review Committee David M. Jaspan, DO, FACOOG New Vice Chair Family Medicine Review Committee Joseph C. Mazzola, DO, FACOFP New Vice Chair Emergency Medicine Douglas McGee, DO Drs. Buser and Nasca present to the AOA HOD



Dates	Achievements
September 2018	 New Vice Chair of ACGME Board Karen J. Nichols, DO, MA, MACOI, CS ACGME Board Committees Osteopathic Members serve on all Board Committees a. Chair Governance Committee Karen J. Nichols, DO, MA, MACOI, CS b. Chair Committee of Requirements



Dates	Achievements
January 2019	 ONMM Review Committee meets, 1 additional ONMM programs achieve Initial Accreditation and 1 program moves to Continued Accreditation for a total of 15 accredited programs (Total 12 programs with Initial Accreditation and 3 with Continued Accreditation) OPC Meets, 11 additional programs achieve Initial Recognition and 19 additional programs achieve Continued Recognition (Total 195 with Osteopathic Recognition) Two New Combined Non-Accredited Programs (Previous AOA Programs) Family Medicine/Emergency Medicine Internal Medicine/Emergency Medicine
February 2019	 One Year Common Program Requirements approved by the ACGME Board of Directors with an effective date July 1, 2019 (closely aligned with Fellowship Common Program Requirements) 300 AOA programs have achieved ACGME Accreditation since January 2018 (Total 594 Programs have achieved Accreditation not inclusive of Dually accredited programs)
March 2019	 ACGME Annual Conference with the 3nd ACGME-AOGME Pre-Conference for Osteopathic Programs and Institutions Kevin Klauer, DO, EJD, FACEP, Named Next AOA CEO



Dates	Achievements
April 2019	 OPC Meets and celebrates achieving 200 programs achieving Osteopathic Recognition, 8 additional programs achieve Initial Recognition and 6 additional programs achieve Continued Recognition (Total 203 with Osteopathic Recognition) 322 AOA programs have achieved ACGME Accreditation since January 2018 (Total 616 Programs have achieved Accreditation not inclusive of Dually accredited programs) Robert A. Cain, DO, FACOI, FAODME, Named Next AACOM President and CEO Thomas J. Nasca, MD, MACP was awarded the William D. Miller Award by the AACOM Board of Deans ACGME-AOGME have started the planning process for the 2020 AEC Pre-Conference for Osteopathic Programs and Institutions
May 2019	 343 AOA programs have achieved ACGME Accreditation since January 2018 (Total 637 Programs have achieved Accreditation not inclusive of Dually accredited programs) New Vice Chair, Council of Review Committee Chairs (CRCC) Natasha Bray, DO, MSEd, FACOI, FACP, Chair, Osteopathic Principles Committee
July 2019	 Common Program Requirements (Residency) effective July 1, 2019 Common Program Requirements (Fellowship) effective July 1, 2019 Common Program Requirements (One-Year Fellowship) effective July 1, 2019



Dates	Achievements
July 2019	4. New Chair, Emergency Medicine Review Committee Douglas McGee, DO
	5. New Vice Chair, Transitional Year Review Committee JoAnn Mitchell, DO
	6. ACGME Review and Recognition Committees4 - Osteopathic Physician Chairs
	 4 - Osteopathic Physician Vice Chairs 1 - Osteopathic Physician Vice Chair (CRCC)
	7. Drs. Nasca and Buser Annual Presentation AOA HOD

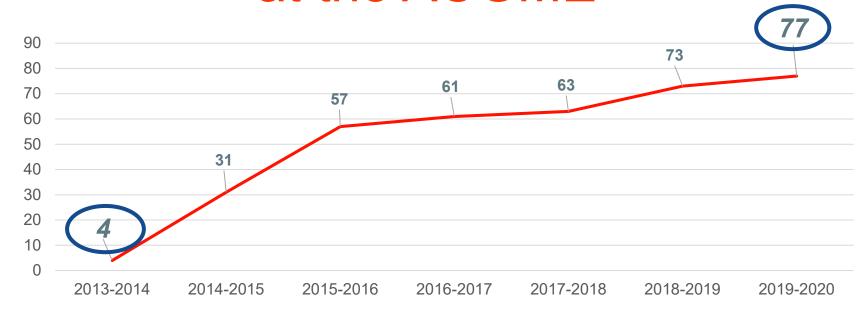


Osteopathic Physician Leaders at the ACGME

- ACGME Board Members
- Review/Recognition Committee Members
 - Resident Members
 - > Ex Officio
- ACGME Staff Members
 - Accreditation Field Representatives
 - > CLER Staff Members
 - Senior Vice President, Osteopathic Accreditation
- Numerous osteopathic physicians have been part of other work groups (Milestones, Program Directors Summit, etc.)



Osteopathic Physician Leaders at the ACGME



-- Osteopathic Physician Leaders



Resident Positions



Status of Resident Positions

- Increasing Number of Positions Available to Graduates
- Trend includes both the growth in ACGME-accredited positions and increases in positions traditionally accredited by the AOA

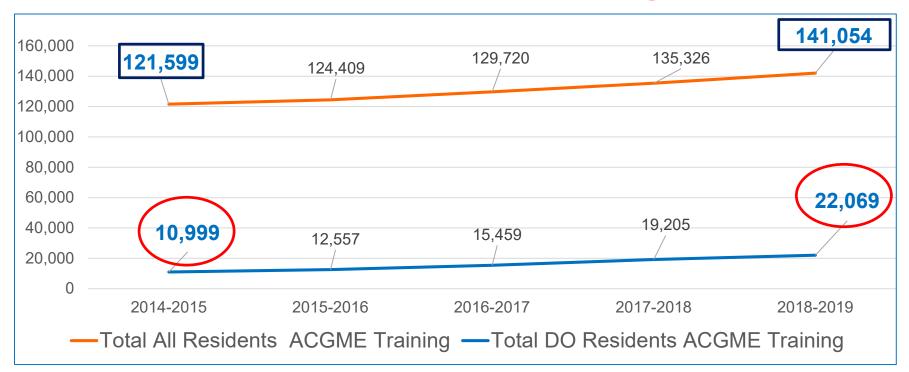


Status of Resident Positions

- Osteopathic graduates in ACGME-accredited programs
 - Doubled over four years (2015 2019)
 - 10,999 (2014-2015)
 - 22,069 (2018-2019)
- Osteopathic graduates entering ACGME pipeline programs
 - More than doubled (2015-2019)
 - 2,930 (2014-2015)
 - 6,370 (2018-2019)



Residents - Training





ACGME Today

- 11,619 Accredited GME programs*^
- 139,568 Residents*
- 844 Sponsoring institutions*
- 150 + Specialty and subspecialty areas
 - 28 Review Committees
 - 1 Institutional Review Committee
 - ☐ 1 Recognition Committee
 - Over 6,000 items/decisions per year

- 206 Combined-Programs*
 - □ 79 Med/Peds (Accredited)*
 - 127 Other (Non-accredited)*
 - * 1 FM/ONMM**
 - * 1 FM/EM**
 - * 1 IM/EM**
- 2,274 Residents*

^Total programs include Med/Peds but does not include other Combined programs



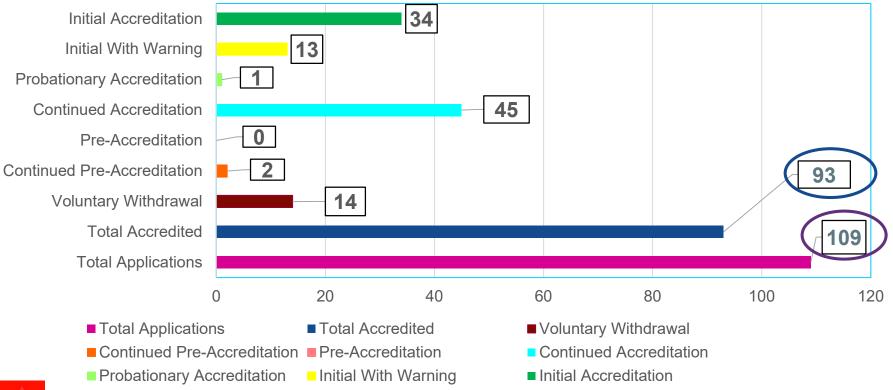
^{*}ACGME Data 2019-20 (8-6-2019)

^{**}Former AOA Combined Programs

Sponsoring Institutions



Sponsoring Institution Applications*





*As of August 6, 2019 ©2019 ACGME

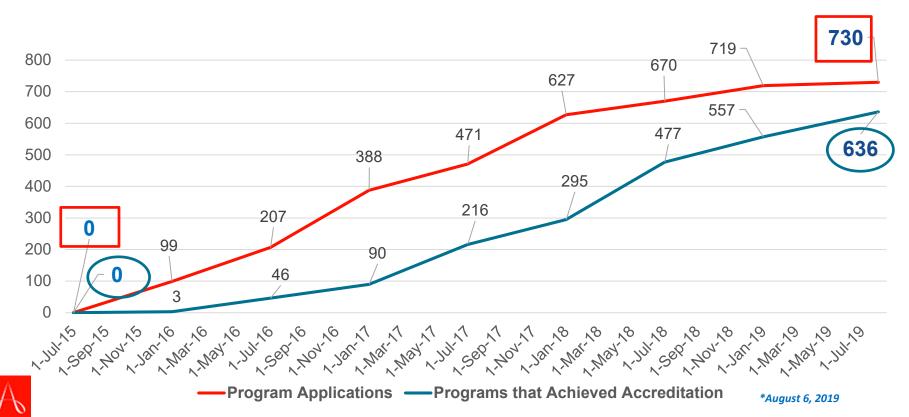
Status of Programs Applying For Accreditation and Osteopathic Recognition



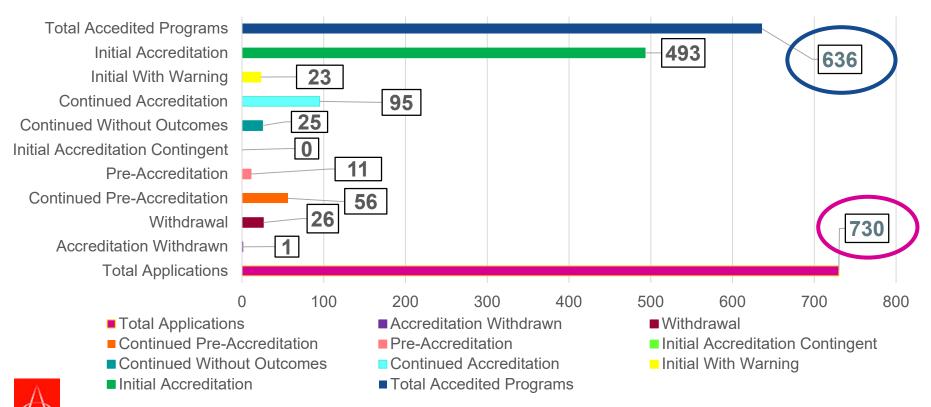
Specialty and Subspecialty Programs



Program Applications*



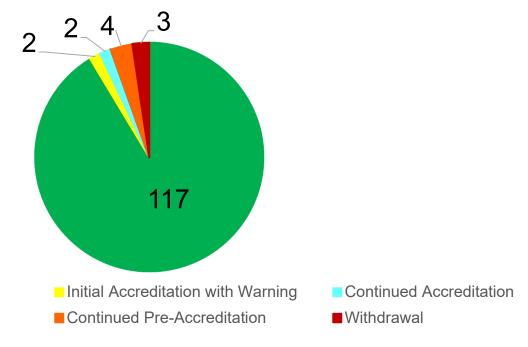
Program Applications*





Subspecialty Applications*

128 Subspecialty Programs



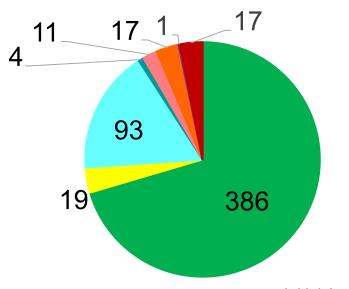


Initial Accreditation

■ Continued Without Outcomes

Non-Surgical Applications*

548 Non-Surgical Programs





Continued Accreditation

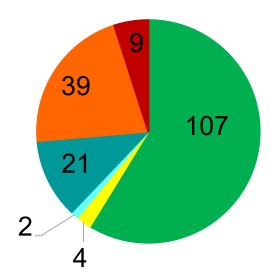
Pre-Accreditation

Withdrawn

Initial Accreditation with Warning Continued Accreditation Without Outcomes Continued Pre-Accreditation ■ Withdrawal

Surgical Applications*

182 Surgical Programs





Continued Accreditation

Continued Pre-Accreditation



■ Continued Accreditation Without Outcomes

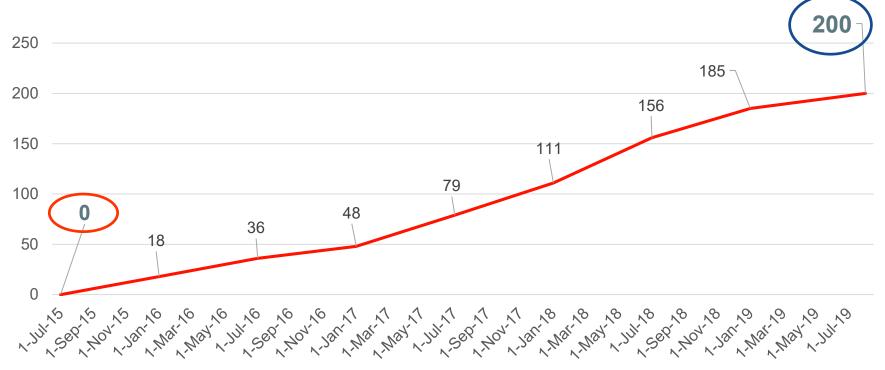
Withdrawal



92% of AOA Approved
Programs that have applied
have Achieved
ACGME Accreditation



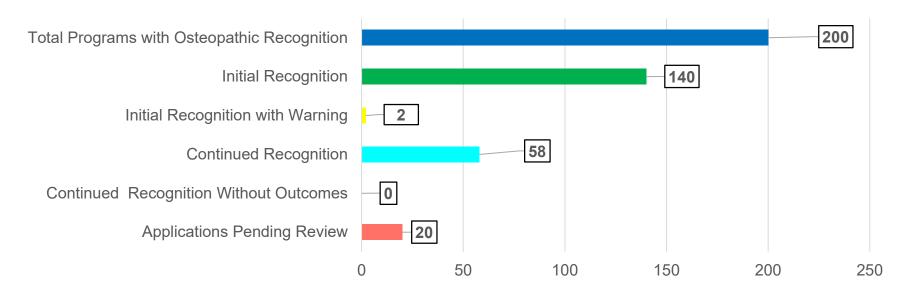
Osteopathic Recognition*





—Programs with Osteopathic Recognition*

Osteopathic Recognition Applications*

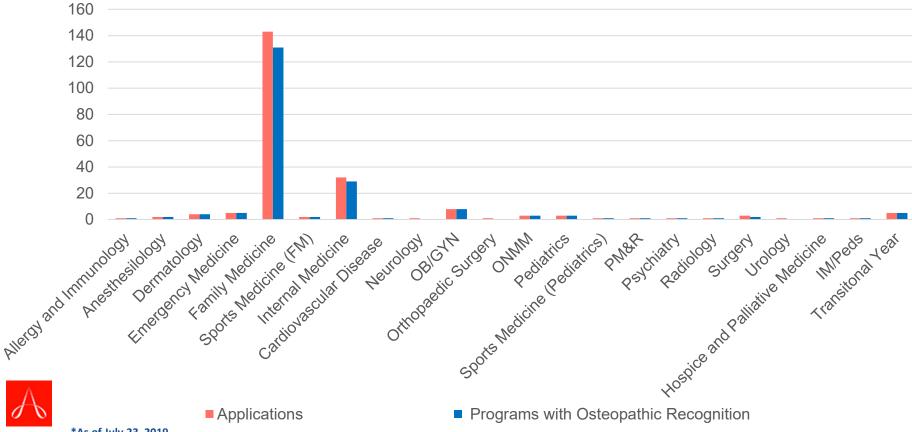


- Applications Pending Review
- Continued Recognition
- Initial Recognition

- Continued Recognition Without Outcomes
- Initial Recognition with Warning
- Total Programs with Osteopathic Recognition



Osteopathic Recognition*



Osteopathic Recognition

#getrecognized



Thank You



ACGME Policies



Subject: 18.00 Accreditation and Recognition Actions:

Pre-Accreditation

Section 18.120 Pre-Accreditation

Section 18.123 Termination of, and Appeals from, the July 1, 2015 to June 30, 2020 Phase of the Single Accreditation System



A. Termination of, and Appeals from, Pre-Accreditation

Within six months after June 30, 2020, any Sponsoring Institution or program with the status of Pre-Accreditation on June 30, 2020 will be reviewed by the applicable Review Committee and conferred the status of either Initial Accreditation or Accreditation Withheld. Within 30 days of receipt of the Review Committee's Letter of Notification of that conferral, any such Sponsoring Institution or program that was conferred a status of Accreditation Withheld may request an appeal of that status before an Appeals Panel pursuant to ACGME Policies and Procedures, Section 19.00. During the pendency of the appeal, a status of the Sponsoring Institution or program will be Accreditation Withheld under Appeal.

Any program with the status of Initial Accreditation - Contingent on June 30, 2020, and with a Sponsoring Institution with the status of Pre-Accreditation, will continue with the status of Initial Accreditation - Contingent until the Sponsoring Institution is conferred the status of either Initial Accreditation or Accreditation Withheld.



A. Termination of, and Appeals from, Pre-Accreditation (Continued)

If the Sponsoring Institution is conferred the status of Initial Accreditation, the program's status will become Initial Accreditation. If the Sponsoring Institution is conferred the status of Accreditation Withheld, and it does not appeal the status, the program's status will become Accreditation Withheld. If the Sponsoring Institution is conferred the status of Accreditation Withheld, and it appeals the status, during the pendency of the appeal, the status of the program will be Accreditation Withheld - Contingent under Appeal

B. Termination of, and Appeals from, Continued Pre-Accreditation

After midnight on June 30, 2020, the status of each Sponsoring Institution and program with a status of Continued Pre-Accreditation will become a status of Accreditation Withheld. Within 30 days after midnight on June 30, 2020, any Sponsoring Institution or program with the status of Accreditation Withheld may request an appeal of that status before an Appeals Panel. Upon the ACGME's receipt of a timely request for appeal before an Appeals Panel, the status of Accreditation Withheld will become the status of Accreditation Withheld under Appeal, and the appeal from that adverse action will be pursuant to ACGME Policies and Procedures, Section 19.00.

B. Termination of, and Appeals from, Continued Pre-Accreditation (Continued)

Any program with the status of Initial Accreditation - Contingent on June 30, 2020, and with a Sponsoring Institution with the status of Continued Pre-Accreditation, will continue with the status of Initial Accreditation - Contingent until the Sponsoring Institution is conferred a status of either Initial Accreditation or Accreditation Withheld.

If the Sponsoring Institution is conferred the status of Initial Accreditation, the program's status will become Initial Accreditation. If the Sponsoring Institution is conferred the status of Accreditation Withheld, and it does not appeal the status, the program's status will become Accreditation Withheld. If the Sponsoring Institution is conferred the status of Accreditation Withheld, and it appeals the status, during the pendency of the appeal, the status of the program will be Accreditation Withheld – Contingent under Appeal.



C. Applications for Initial Accreditation after June 30, 2020

Beginning July 1, 2020, all submitted applications for Initial Accreditation of sponsoring institutions and programs will be processed under the ACGME procedures provided in ACGME Policies and Procedures, Section 17.50.



Subject: 19.00 Appeals of Adverse Accreditation Actions and Adverse Recognition Actions

Section: 19.10 Adverse Accreditation Actions for Programs and Sponsoring Institutions



Adverse Actions

The following are considered adverse actions:

- Accreditation Withheld
- Probationary Accreditation
- Withdrawal of Accreditation
- Reduction in Resident Complement (not applicable to Sponsoring Institutions)

The above adverse actions may be appealed under this section with the exception of an adverse action resulting from reconsideration by the ACGME Board under the Alleged Egregious Violation Event policy (Section 20.00).



Subject: 19.00 Appeals of Adverse Accreditation Actions and Adverse Recognition Actions

Section: 19.20 Procedures for an Adverse Accreditation Action



- a) When Review Committee confers an adverse action, it shall give notice of the adverse action to the program director and the designated institutional official of the Sponsoring Institution. This notice of adverse action shall include the citations that form the basis for the adverse action and a copy of the site visitor report if there was a site visit.
- b) Letter of Notification shall be sent to the program director and copied to the designated institutional official. The program director may appeal an adverse action; otherwise, the adverse action is final.
- c) Upon receipt of notification of an adverse action, the program director must inform, in writing, the residents and any candidates (applicants who have been invited to interview with the program). The program director must inform residents and candidates, regardless of whether or not the action is appealed. A copy of this written notice must be sent to the Executive Director of the Review Committee within 50 days of receipt of the Review Committee's Letter of Notification.



Subject: 19.00 Appeals of Adverse Accreditation Actions and Adverse Recognition Actions

Section: 19.30 Procedures for Appeal of Accreditation Adverse Actions



- 1. If a Review Committee confers an adverse action, the Sponsoring Institution or program may request a hearing before an Appeals Panel. If a written request for such a hearing is not received by the President and Chief Executive Officer of the ACGME within 30 days following receipt by the Sponsoring Institution or program of the notice of adverse action, the action of the Review Committee shall be deemed final and not subject to further appeal.
- 2. If a hearing is requested, a panel shall be appointed according to the following procedures:
 - (1) The ACGME shall maintain a list of qualified persons as potential Appeals Panel members to review programs.
 - (2) For a given hearing, the Sponsoring Institution or program shall receive a copy of the list of potential Appeals Panel members, and shall have an opportunity to delete a maximum of one-third of the names from this list. Within 15 days of receipt of this list, the Sponsoring Institution or program shall submit its revised list to the President and Chief Executive Officer of the ACGME.
- (3) A three-member Appeals Panel will be constituted by the ACGME from among the remaining names on the list.



- 3. When a hearing is requested, the following policies and procedures shall apply:
- (1) When a Sponsoring Institution or program requests a hearing before an Appeals Panel, the Sponsoring Institution or program holds the accreditation status determined by the Review Committee with the addition of the term "under appeal." This accreditation status shall remain in effect until the ACGME makes a final determination on the accreditation status of the Sponsoring Institution or program following the appeal process.
 - Nonetheless, upon receipt of notice of adverse action, residents/fellows and any applicants who have been invited to interview with the Sponsoring Institution or program must be informed in writing as to the adverse action conferred by the Review Committee.
- (2) Hearings conducted in conformity with these procedures shall be held at a time and place to be determined by the ACGME. At least 25 days prior to its hearing, a Sponsoring Institution or program shall be notified of the time and place of the hearing.
- (3) The Sponsoring Institution or program shall be given the documents comprising the program file and the record of the Review Committee's action.

- (4) The documents comprising the institutional or program file and the record of the Review Committee's action, together with oral and written presentations to the Appeals Panel, shall be the basis for the final recommendations of the Appeals Panel.
- (5) The Appeals Panel shall meet to review the written record and receive the presentations. The applicable Review Committee shall be notified of the hearing and a representative of the Review Committee shall attend the hearing.
- (6) Proceedings before an Appeals Panel are not of an adversarial nature as typical in a court of law, but rather provide an administrative mechanism for peer review of an accreditation decision for an educational program. The Appeals Panel shall not be bound by technical rules of evidence usually employed in legal proceedings.
- (7) The appellant may be represented by no more than five individuals at the hearing.
- (8) The Appeals Panel shall not consider any changes in the Sponsoring Institution or program or descriptions of the Sponsoring Institution or program that were not in the record at the time when the Review Committee reviewed it and conferred the adverse action.

- (9) Presentations shall be limited to clarifications of the record and to information that addresses compliance by the Sponsoring Institution or program with the applicable published requirements for accreditation and the review of the Sponsoring Institution or program according to the administrative procedures that govern accreditation of graduate medical education Sponsoring Institutions and programs. Presentations may include written and oral elements. The order of presentations shall be:
 - a. The appellant shall make an initial presentation to the Appeals Panel, which shall be limited to two hours.
 - b. The Review Committee shall make a presentation to the Appeals Panel, which shall be limited to one hour.
 - c. The appellant may make a presentation to the Appeals Panel in response to the Review Committee's presentation, which shall be limited to one hour.
 - d. The Appeals Panel may ask questions of the appellant and/or the Review Committee for up to one hour.
 - e. The appellant may make a closing statement, which shall be limited to fifteen minutes.

Any information, including presentations and audio-visual and written materials, must be provided to the ACGME within 10 business days prior to the hearing.

- (10) The appellant shall communicate with the Appeals Panel only at the hearing or in writing through the President and Chief Executive Officer of the ACGME.
- (11) The Appeals Panel shall make recommendations to the ACGME Board as to whether substantial, credible, and relevant evidence exists to support the action taken by the Review Committee in the matter under appeal. The Appeals Panel shall, in addition, make recommendations as to whether there has been substantial compliance with the administrative procedures that govern the process of accreditation of graduate medical education Sponsoring Institutions or programs.
- (12) The Appeals Panel may recommend either upholding the Review Committee's decision, restoring the Sponsoring Institution or program to its previous accreditation status, or, in the case of Accreditation Withheld, conferring Initial Accreditation.
- (13) The Appeals Panel shall submit its recommendation to the ACGME Board within 20 days of the hearing. The ACGME Board shall act on the appeal at its next regularly-scheduled meeting.



- (14) The decision of the ACGME Board in this matter shall be final. There is no provision for further appeal.
- (15) The President and Chief Executive Officer of the ACGME shall, within 15 days of the final decision, notify the appellant of the decision of the ACGME Board.
- (16) The appellant is fully responsible for the Appeal Fee as set yearly by the ACGME. Expenses of the Appeals Panel members and the associated administrative costs shall be shared equally by the appellant and the ACGME.

